

Foundational Analysis Techniques for High-Level Transformation Programs

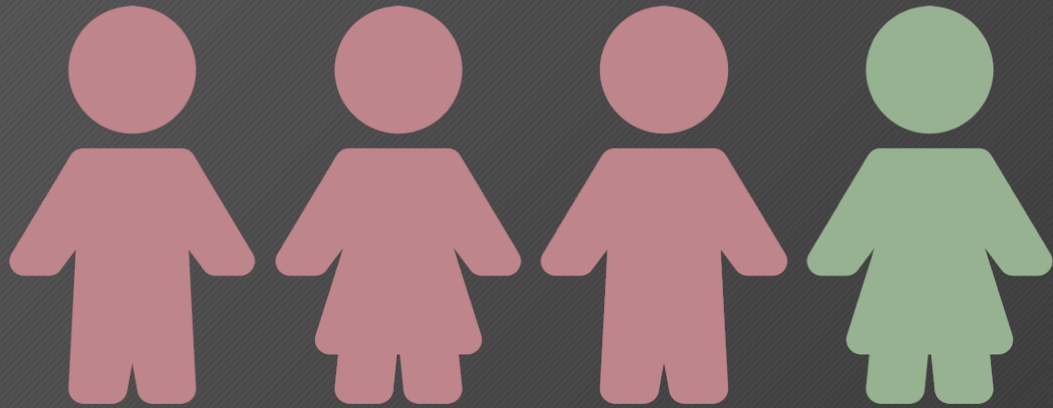
PhD Candidate: Ahmad Salim Al-Sibahi

Supervisors: Andrzej Wąsowski &

Aleksandar S. Dimovski

Worrisome Fact about Transformations

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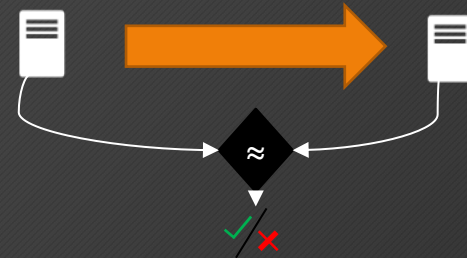
Refactoring comes with a risk of introducing subtle bugs and functionality regression.

77%, from Kim, M., Zimmermann, T., & Nagappan, N. (2012).
A field study of refactoring challenges and benefits. FSE '12

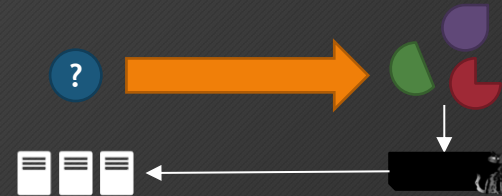
Key Contributions

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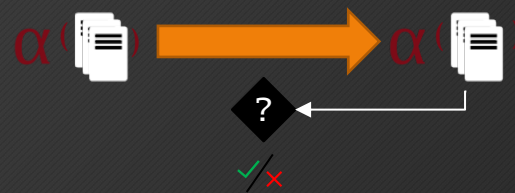
Translation Validation



Symbolic Execution



Static Analysis



High-level Transformations

What do I mean by a high-level transformation?

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```
class Account {  
  Id id;  
  Money credit;  
  
  membershipLevel() {  
    return max(100,  
              this.credit / 100);  
  }  
  eq(Account o) {  
    return  
      this.credit == o.credit &&  
      this.id == o.id;  
  }  
}
```

rename credit to
balance in
Account

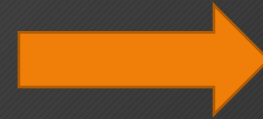
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      this.balance == o.balance &&  
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  }  
}
```

What do I mean by a high-level transformation?

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Refactorings

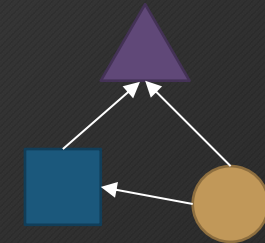
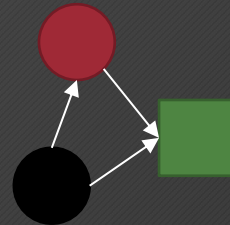
Source



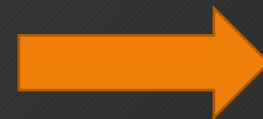
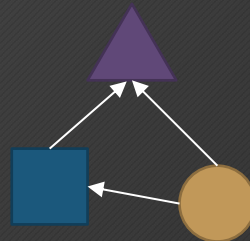
Target



Model Transformation



Code Generation



What is a high-level transformation language?

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Model Transformation Languages



Graph
Rewriting

Rule-based Model
Transformation



Program Transformation Languages



What is a high-level transformation language?

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- Constructs for *traversing* and *manipulating* structures
- Expressive *pattern matching* and *querying* operations
- First-class *collections* and *collection* operations

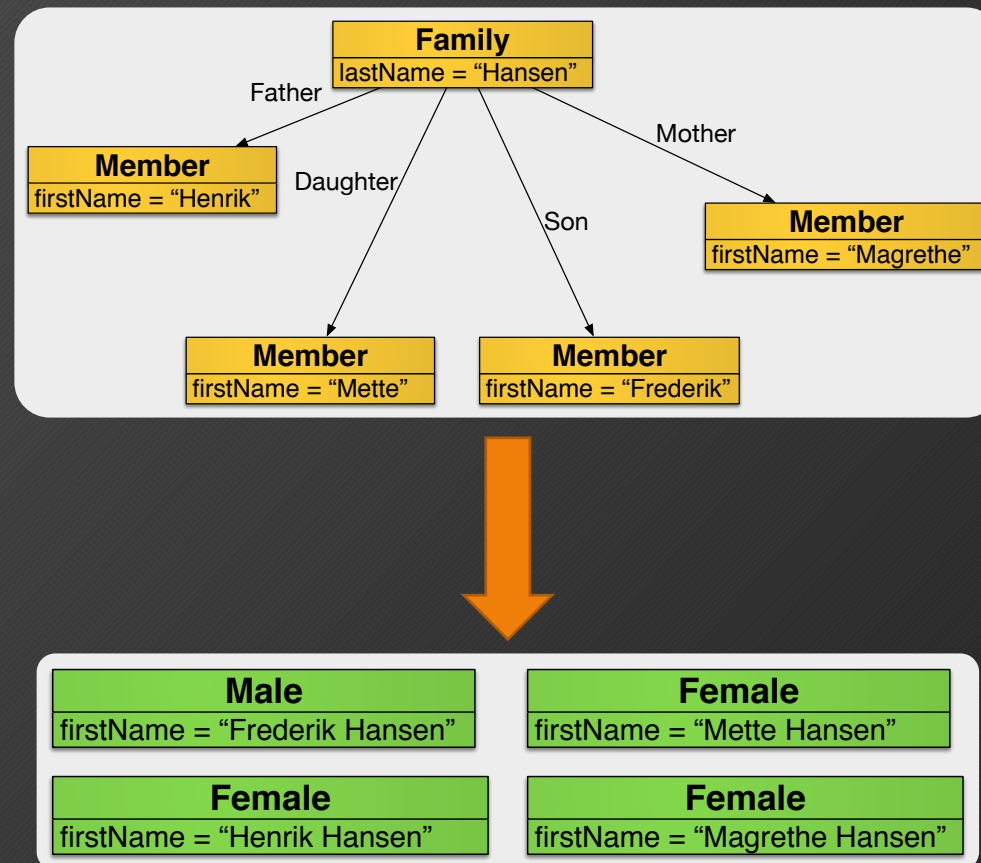


Declarative transformation languages

```

rule Member2Female
transform member : Families!Member
to person : Persons!Female
{
  guard: member.isFemale()
  person.fullName =
    member.firstName + " " +
    member.familyName();
}

```



Program transformation languages

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```
data Nat = zero() | suc(Nat pred);
data Expr = var(str nm) | cst(Nat v1) |
           mult(Expr e1, Expr er);
```

```
Expr simplify(Expr expr) =
  bottom-up visit (expr) {
    case mult(cst(zero()), y) => cst(zero())
    case mult(x, cst(zero())) => cst(zero())
    case mult(cst(suc(zero())), y) => y
    case mult(x, cst(suc(zero()))) => x
  };
```

$1 * (x * 10 * 1) * y$



$x * 10 * y$

$1 * ((3 * 0) * z)$



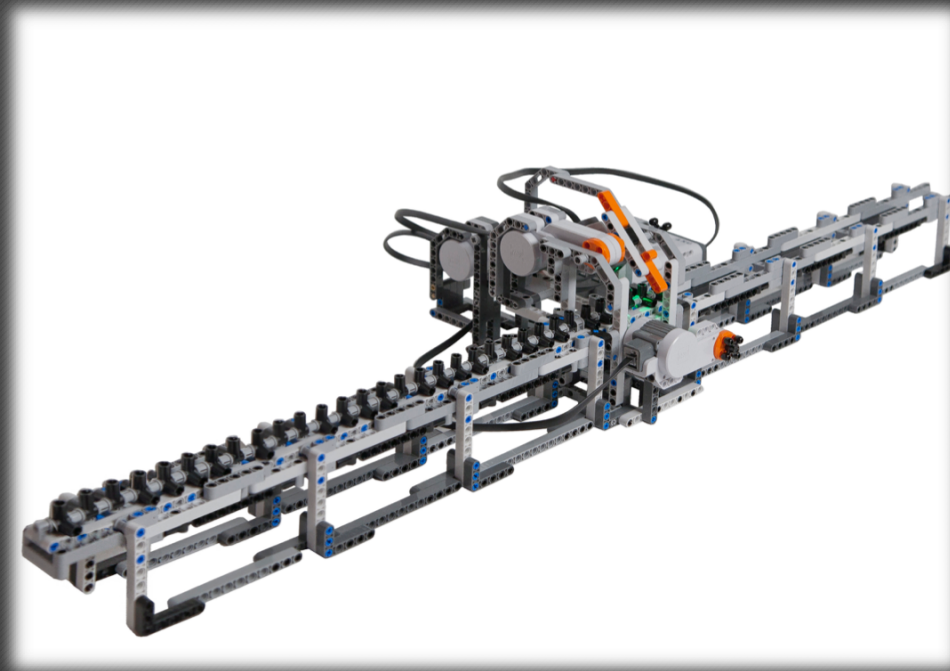
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Establishing of Model Transformation Languages

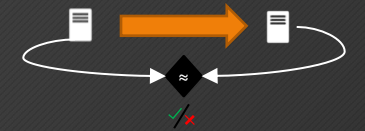
11

- Popular graph and rule-based model transformation languages are Turing-complete
- Traditional programming language verification techniques needed!



LEGO Turing-machine © CWI Amsterdam

Translation Validation



Symbolic Execution



Static Analysis

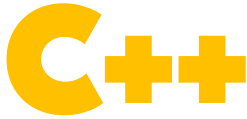


Validating an Industrial Software Modernization Transformation

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Based on Iosif-Lazăr, A. F., Al-Sibahi, A. S., Dimovski, A. S., Savolainen, J. E., Sierszecki, K. & Wąsowski, A. (2015). Experiences from designing and validating a software modernization transformation. ASE '15.


```
Configuration config =
    selectedConfParameter;
Option opt =
    selectedOptParameter;
bool result = false;
switch (config) {
case config1:
    if (opt == option1)
        result = true;
    break;
default:
    result = true;
    break;
}
return result;
```



4119 functions

Modernization
Transformation

```
selectedConfParameter  $\approx$  config1  $\wedge$ 
selectedOptParameter  $\approx$  option1
```



Modernizing an Industrial Configuration Tool

Transforming Danfoss' imperative code base for configuring frequency converters to pure logical formulae compatible with off-the-shelf constraint solvers

Syntactic Transformation using TXL

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468 rule definitions handling:

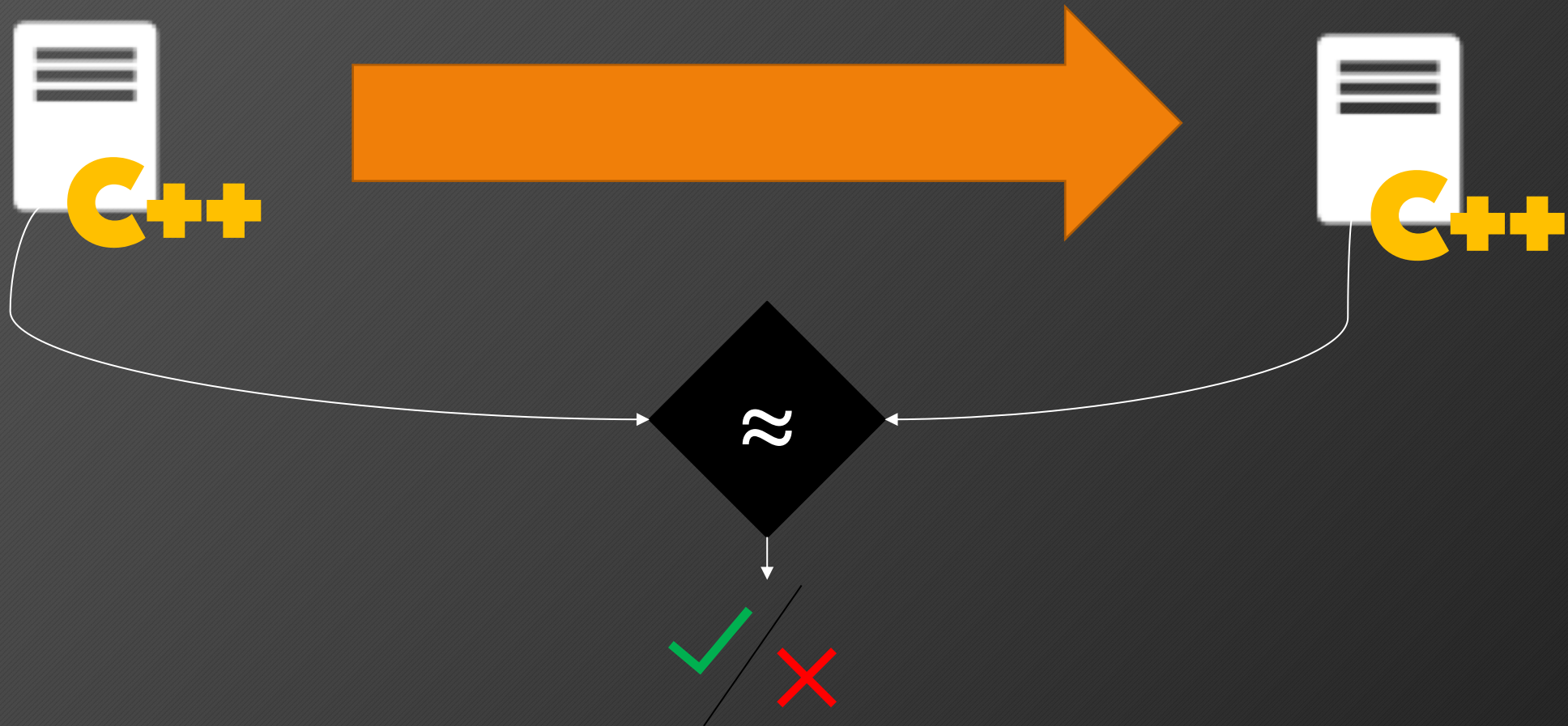
- Preprocessor directives
- Inlining variables
- Converting and simplifying switch's and if's to ternary expressions

```
rule convert_simple_sel_stmt
replace [selection_statement]
  'if '( EXP [expression] ')'
    STMT [statement]
where not STMT [contains_selection_stmt]
where not STMT [is_compound_stmt]
construct TRUE_STMT [true_case_statement]
  'TRUE ';
by
  '( EXP ')' '? '( STMT ')' ': '(TRUE_STMT ')'
end rule
```



Checking Correctness of Modernization using Translation Validation


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```
Configuration config =
    selectedConfParameter;
Option opt =
    selectedOptParameter;
bool result = false;
switch (config) {
case config1:
    if (opt == option1)
        result = true;
    break;
default:
    result = true;
    break;
}
return result;
```



Modernization
Transformation

 $\text{selectedConfParameter} \approx \text{config1} \wedge$
 $\text{selectedOptParameter} \approx \text{option1}$

φ

 $\text{selectedConfParameter} \approx \text{config1} \Rightarrow$
 $\text{selectedOptParameter} \approx \text{option1}$

φ

Validating the Industrial Configuration Tool

Discovering a bug in the modernization transformation

“

Correct programs are all alike; every buggy program is buggy in its own way.

”

Anna Karenina principle as applied to program correctness

Qualitative Understanding of Transformation Bugs

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50 bug cases out of 4491 functions

Simple Syntactic

- All negations dropped
- Structure replaced by a constant integer
- Unexpected exceptions in output expression

Relational Syntactic

- Some function calls dropped
- Some conditional branches dropped
- Conditionals with error code assignments dropped

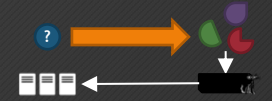
Semantic

- Use of undeclared variables
- Variable declarations without assignment not handled

Translation Validation



Symbolic Execution



Static Analysis



Effective Test Generation for High-Level Transformation Programs

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Based on Al-Sibahi, A. S., Dimovski, A. S., & Wařowski, A. (2016). Symbolic Execution of High-Level Transformations. SLE '16.

Test case generation for transformations

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- Goal: Generate test cases given transformation program
- Rely on definition of transformation program to efficiently cover interesting paths of program

Rename Field Refactoring

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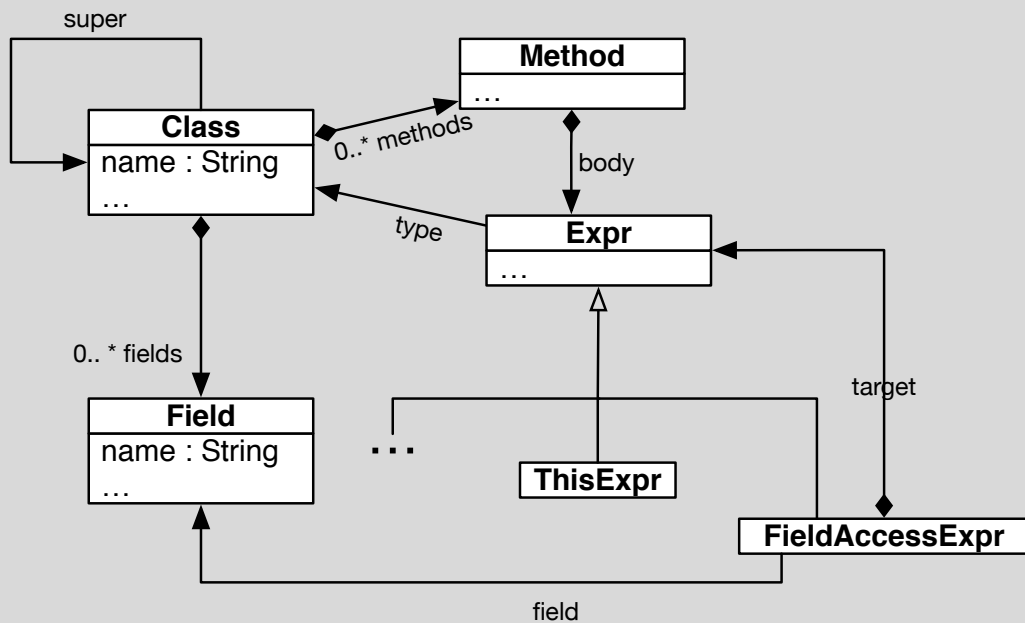
```
class Account {  
  Id id;  
  Money credit;  
  
  membershipLevel() {  
    return max(100,  
               this.credit / 100);  
  }  
  eq(Account o) {  
    return  
      this.credit == o.credit &&  
      this.id == o.id;  
  }  
}
```

rename credit to
balance in
Account

```
class Account {  
  Id id;  
  Money balance;  
  
  membershipLevel() {  
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  eq(Account o) {  
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      this.balance == o.balance &&  
      this.id == o.id;  
  }  
}
```

Rename Field Refactoring

22

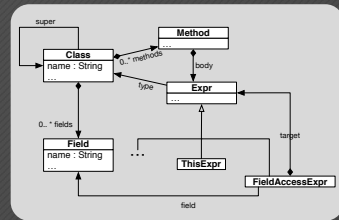


```
target_class.fields :=
  (target_class.fields \ old_field) ∪ new_field
foreach faexpr ∈
  target_class match* FieldAccessExpr do
  if faexpr.field = old_field ∧
    faexpr.target.type = target_class then
    faexpr.field := new_field
  else skip
```

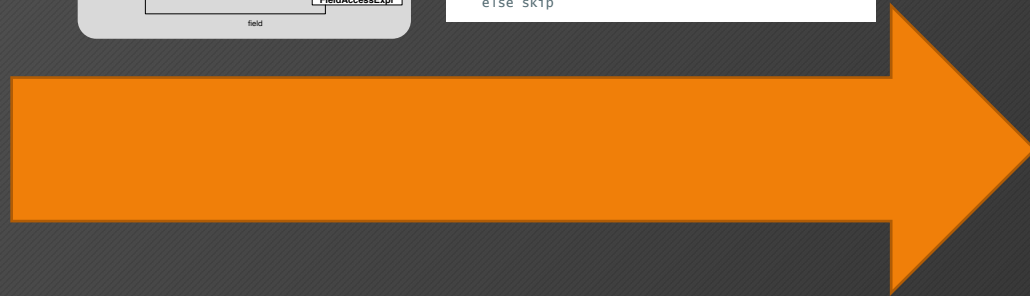
TRON

Test Generation using Symbolic Execution

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```
target_class.fields :=  
(target_class.fields \ old_field) u new_field  
foreach faexpr ∈  
target_class match* FieldAccessExpr do  
if faexpr.field = old_field ∧  
faexpr.target.type = target_class then  
faexpr.field := new_field  
else skip
```



Model Finder



Symbolic Execution

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Concrete

```
class Account {  
  Id id;  
  Money credit;  
  
  membershipLevel() {  
    return max(100,  
              this.credit / 100);  
  }  
  eq(Account o) {  
    return  
      this.credit == o.credit &&  
      this.id == o.id;  
  }  
}
```

Symbolic

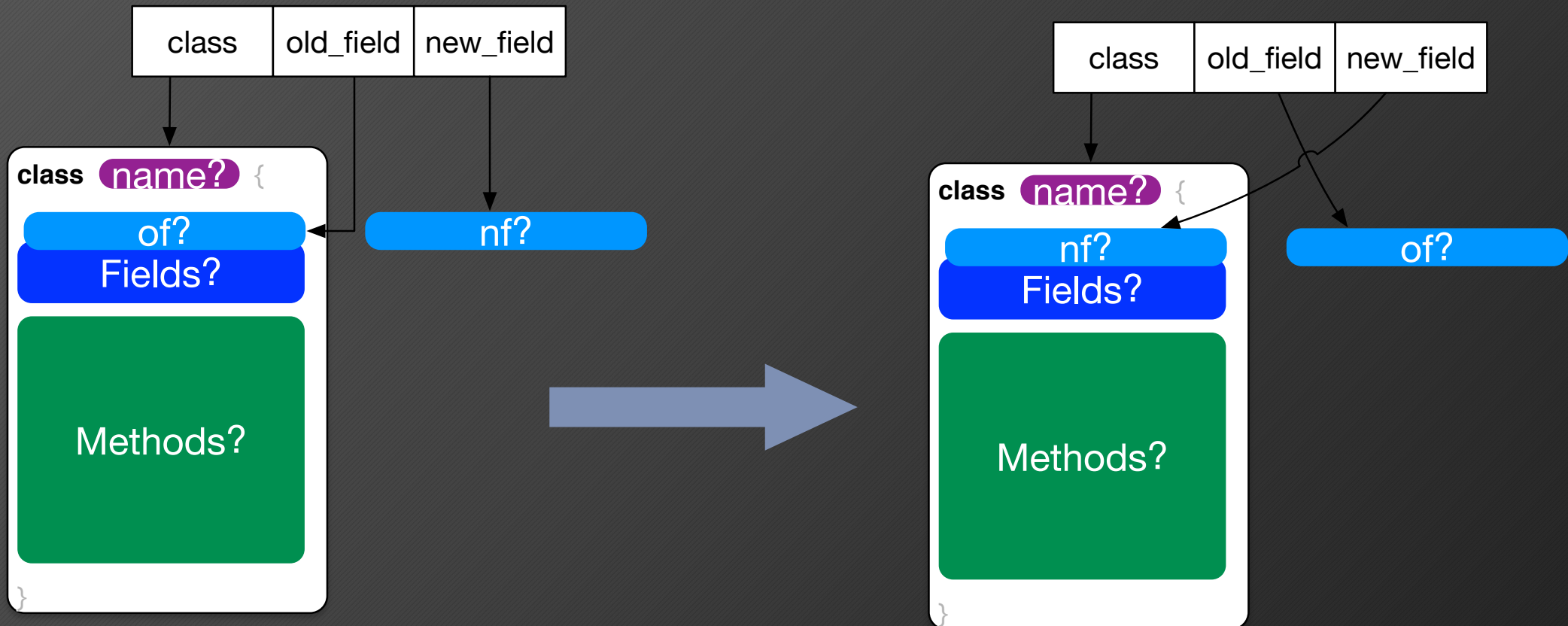
```
class name? {  
  Fields?  
  Methods?  
}
```



```

class.fields :=
  (class.fields \ old_field) ∪ new_field
foreach faexpr ∈ class match* FieldAccessExpr do
  if (faexpr.field = old_field
      ∧ faexpr.target.type = class) then
    faexpr.field := new_field
  else skip

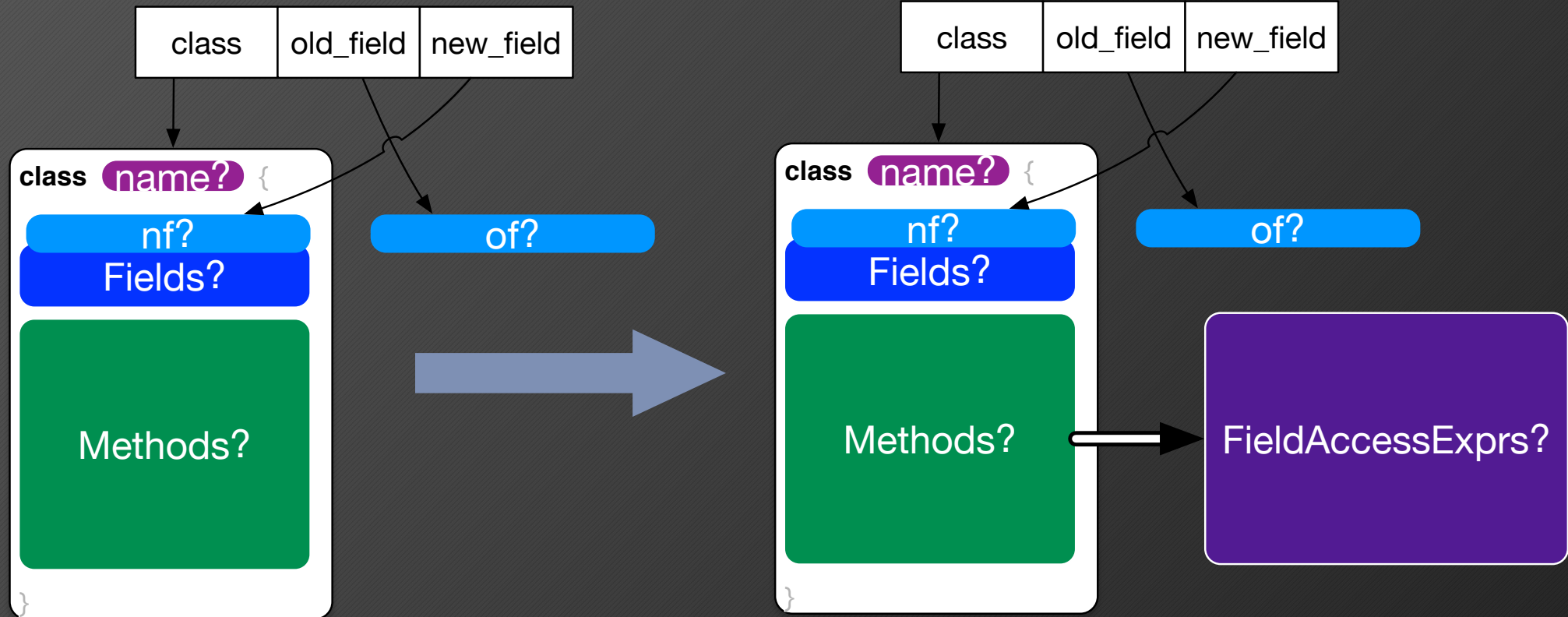
```



```

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  (class.fields \ old_field) ∪ new_field
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      ∧ faexpr.target.type = class) then
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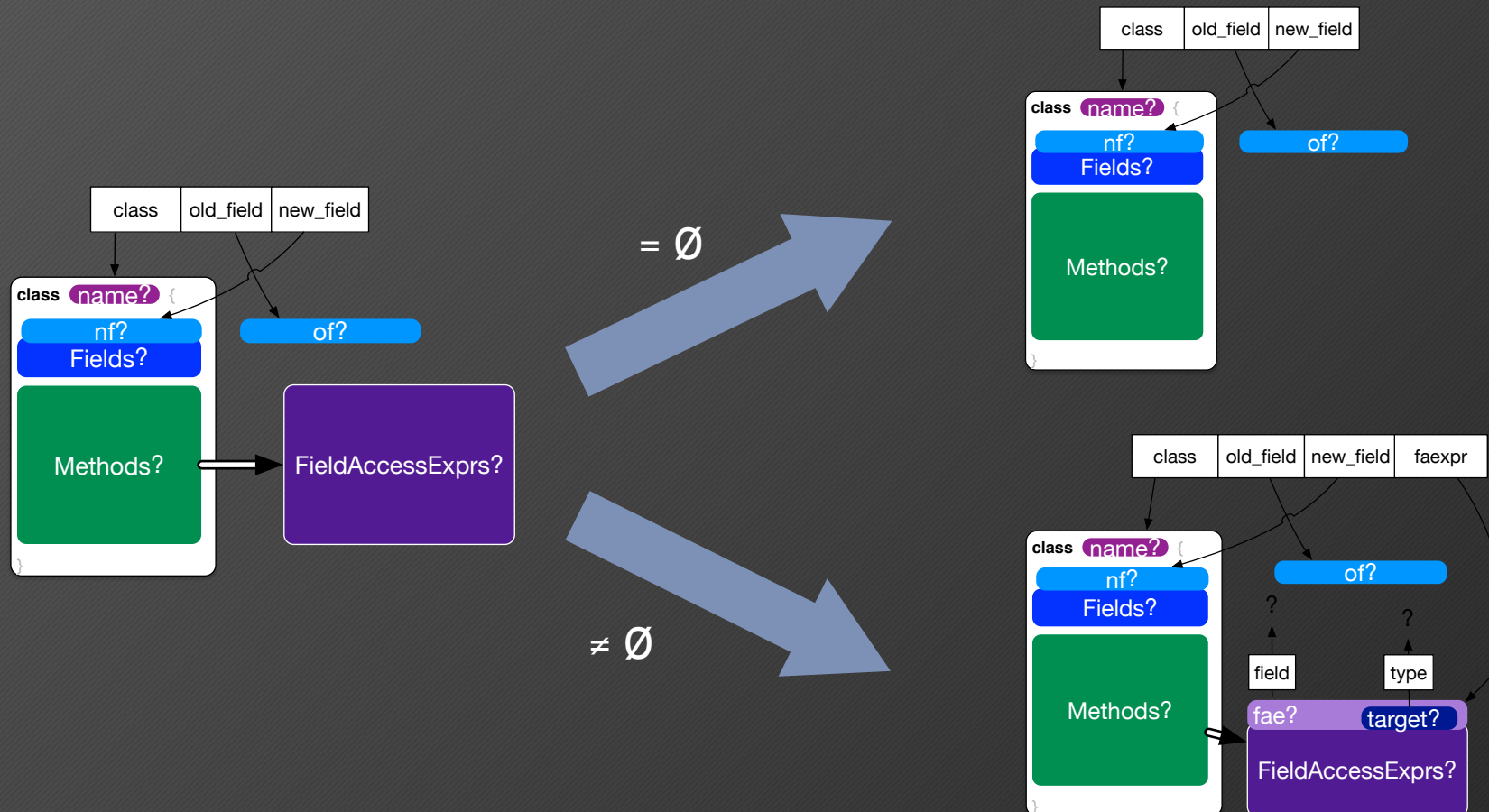
```



```

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  (class.fields \ old_field) ∪ new_field
foreach faexpr ∈ class match* FieldAccessExpr do
  if (faexpr.field = old_field
      ∧ faexpr.target.type = class) then
    faexpr.field := new_field
  else skip

```



Symbolic Execution Continues...

SymexTRON

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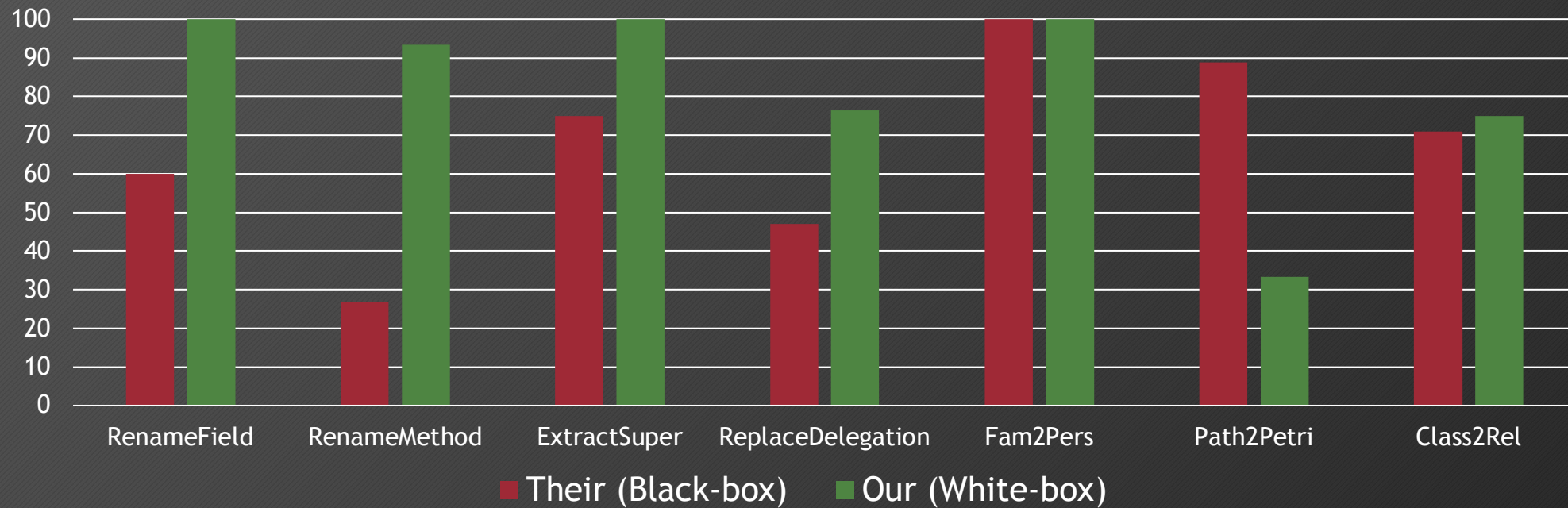
- Scala-based implementation of symbolic execution for TRON
 - 36 Scala files, ~3,485 SLOC total
- Relies on KodKod model solver and Plingeling SAT solver
- Artifact Evaluated and Proudly Open Source
 - <http://itu-square.github.io/SymexTRON/>



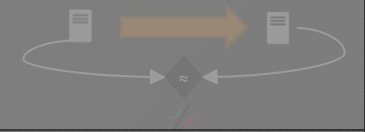
Test Generation Results

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Branch coverage (%) of test generators



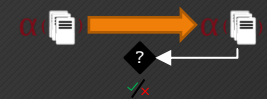
Translation Validation



Symbolic Execution



Static Analysis



Verifying type and shape properties for Rascal

What is Rascal?

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- High-level Language for Analysing and Transforming Programs
- Popular in the Software Language Engineering Community
- Developed at CWI Amsterdam by the SWAT team

CWI

Centrum Wiskunde & Informatica



What is Rascal?

33

- Full programming language with algebraic datatypes, functions with case analysis, imperative variables, various loops (**for**, **while**, **solve**) with control flow (**break**, **continue**), and exceptions
- Generic traversals using a wide range of strategies (**bottom-up**, **top-down**, **innermost**, **outermost**, **bottom-up-break**, **top-down-break**)
- Expressive pattern matching constructs, including collection patterns, non-linear patterns, negated patterns, and deep matching patterns

What is Rascal?

34

```
data Config =  
    flat(str option, str val)  
    | nested(str group, list[Config] subconfigs);  
  
Config deduplicate(Config config) =  
    innermost visit(config) {  
        case [*xs, x, *zs, x, *ys] => [*xs, x, *zs, *ys]  
    };
```

Rascal Light, a Formal Subset of Rascal

35

Based on Al-Sibahi, A. S. (2017). The Formal Semantics of Rascal Light.
arXiv CoRR, abs/1703.92312.

Rascal Light

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- Fully-formalized subset of Rascal
- Captures key features like Traversals and Pattern Matching
- Ideal for developing formal verification techniques

Rascal Light

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Includes +

- Large subset of expression language
 - Case analysis, Variables, Exceptions, and Loops with control flow operators
- Traversals including all strategies
- Expressive pattern matching operations including backtracking

Excludes ÷

- Concrete syntax support, string interpolation, and regular expressions
- Standard Library, Input/Output, and FFI
- Module system and extensibility
- Advanced type system features like polymorphism and inheritance

Method of Formalising Rascal Light

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- Develop an Operational Semantics for Rascal Light based on:
 - Rascal documentation
 - Implementation of micro-Rascal
 - Correspondence with Rascal developers (esp. Paul Klint)
- Checked using prototype implementation and proofs of target theorems

$$\text{E-VISIT-SUCS} \frac{e; \sigma \xRightarrow{\text{expr}} \text{success } v; \sigma'' \quad \underline{cs}; v; \sigma'' \xRightarrow{\text{visit}} \text{success } v'; \sigma'}{st \text{ visit } e \underline{cs}; \sigma \xRightarrow{\text{expr}} \text{success } v'; \sigma'}$$

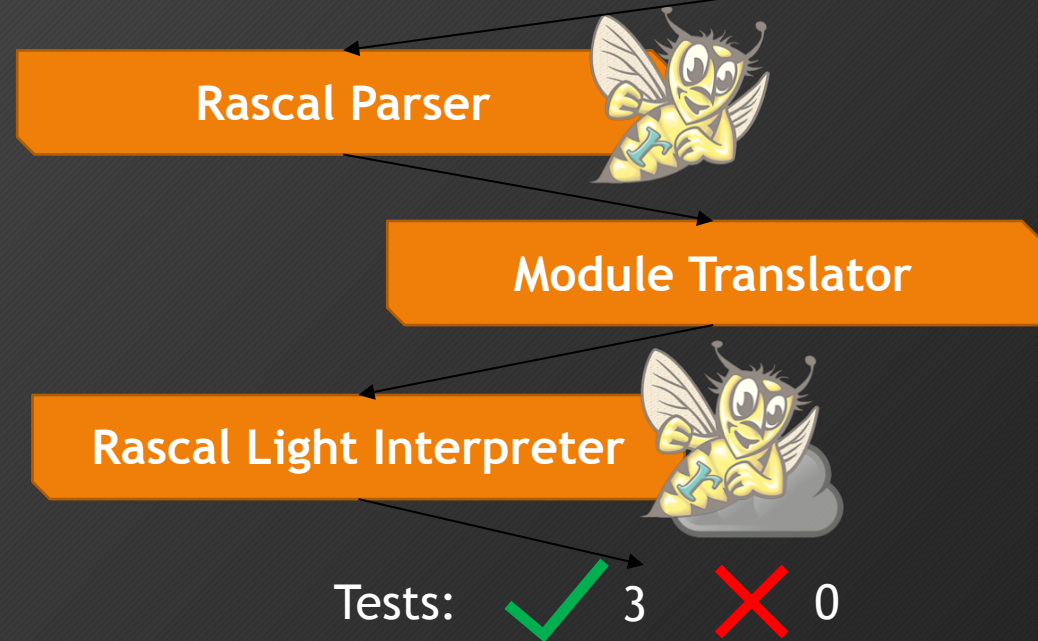
Rascal Light

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- Prototype Interpreter implemented in Scala
- Closely corresponds to the operational semantics
- Tested against a series of real and synthetic Rascal transformations

```
data Config =
  flat(str option, str val)
| nested(str group, list[Config] subconfigs);

Config deduplicate(Config Config) =
  innermost visit(Config) {
    case [*xs, x, *zs, x, *ys] => [*xs, x, *zs, *ys]
  };
```



“

The robustness of the semantics depends upon theorems

”

Milner, R., Tofte, M., Harper, R. (1990). The Definition of Standard ML.

Correctness of Rascal Light semantics

41

Proven theories:

- Purity of backtracking
- Strong typing
- Partial progress
- Terminating subset



Static Analysis Tool for Rascal Light

42

*Based on Al-Sibahi A. S., Jensen, T. P., Dimovski, A. S. & Wąsowski, A. (2017).
Verification of High-Level Transformations with Inductive Refinement Types.
Unpublished Draft.*

Type and Shape Properties

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Inductive Shape

```
refine Configsimple =  
  flat("playerId", 1..10)  
  | nested("players", [Configsimple]2..3)
```

Example represented programs

```
flat("playerId", 10)
```

```
nested("players",  
  [flat("playerId", 1),  
   flat("playerId", 3)]
```

- Types
- Inductive shapes

Rascal Static Analysis Challenges

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Challenges

1. Complex inductive structures with collections
2. Non-modular control flow
3. Substantial number of expressive language constructs

Solutions

1. Modular construction of abstract domains
2. Schmidt-style abstract interpretation directly on operational semantics
3. Systematic mapping of concrete semantics to abstract semantics

“

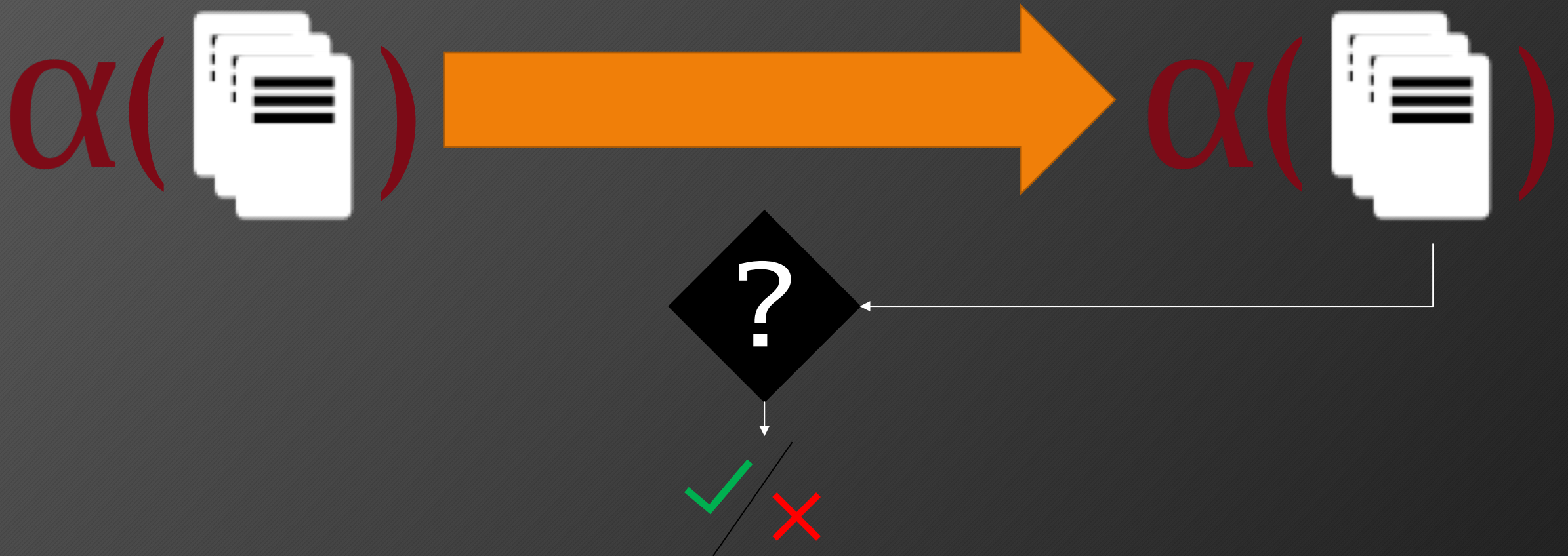
It is convenient to think of an [abstract interpretation] as a “symbolic execution” where the symbols have semantic content.

”

Schmidt, D. A. (1998). Trace-Based Abstract Interpretation of Operational Semantics. *Journal of LISP and Symbolic Computation* 10, pp. 237-271.

Static Analysis using Schmidt-style Abstract Interpretation

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Implementation

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- Rascal light Abstract Interpretation Tool (RABIT)
- Development effort:
 - ~3 months of part-time programming
 - 5,673 SLOC Scala (incl. concrete interpreter)
- Structured technique helped reduced bugs
 - Intrinsically complex meta-meta-program, makes it hard to debug and log calls



CC-NC-ND KnitSpirit on Flickr

Evaluation Subjects

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| Transformation | Description |
|----------------------------------|---|
| Negation Normal Form (NNF) | Normalize a propositional formula so that all negations (\neg) are only in front of atoms |
| Rename Struct Field (RSF) | Refactor the name of the field of a structure, ensuring all references are updated correctly |
| Desugar Oberon-0 (DSO0) | Translate for -loops and switch -statements to while -loops and if -statements resp. for the Oberon-0 |
| Glagol-to-PHP Expressions (G2PE) | Code generation to PHP from expressions in the Glagol DSL |

Verified Properties

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| Transformation | # | Target Property | Verified |
|----------------|----|--|----------|
| NNF | P1 | Implication is not used as a connective in the result | ✓ |
| | P2 | All negations in the result are in front of atoms | ✓ |
| RSF | P3 | Structures should not define fields with the old name | ✗ |
| | P4 | There should not be any field access expression to the old field name | ✓ |
| DS00 | P5 | For-loops correctly desugared to while-loops | ✓ |
| | P6 | Switch-statements correctly desugared to if-statements | ✓ |
| | P7 | No auxiliary data in output | ✗ |
| G2PE | P8 | Only produce simple PHP expressions given simple Glagol expressions | ✓ |
| | P9 | Not produce unary PHP expressions if there were no +/- markers in input Glagol | ✓ |

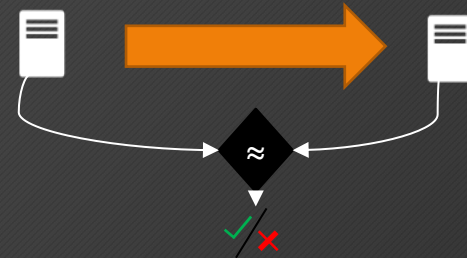
Wrapping up

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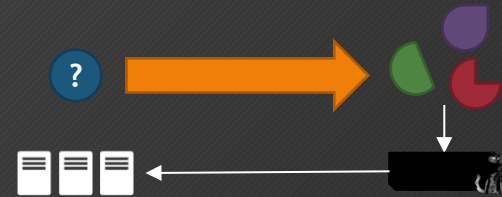
Key Contributions

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Translation Validation



Symbolic Execution



Static Analysis

